

How many Real Zeros
does
a random sparse polynomial system
have?

Algebra Seminar
UPV/EHU

Josué TONELLI-CUETO
(Johns Hopkins University)

Joint work with...



Alperen A. ERGÜR



Mate L. TELEK



Elias TSIGARIDAS

How many...

Complex Zeros?

$$\left\{ \begin{array}{l} a_1 + b_1 X + c_1 Y + d_1 XYZ^d = 0 \\ a_2 + b_2 X + c_2 Y + d_2 XYZ^d = 0 \\ a_3 + b_3 X + c_3 Y + d_3 XYZ^d = 0 \end{array} \right.$$

*d complex
zeros
(generically)*

How many...

Real Zeros?

$$\left\{ \begin{array}{l} a_1 + b_1 X + c_1 Y + d_1 XYZ^d = 0 \\ a_2 + b_2 X + c_2 Y + d_2 XYZ^d = 0 \\ a_3 + b_3 X + c_3 Y + d_3 XYZ^d = 0 \end{array} \right.$$

≤ 2
real zeros
(generically)

How many...

Positive Zeros?

$$\left\{ \begin{array}{l} a_1 + b_1 X + c_1 Y + d_1 XYZ^d = 0 \\ a_2 + b_2 X + c_2 Y + d_2 XYZ^d = 0 \\ a_3 + b_3 X + c_3 Y + d_3 XYZ^d = 0 \end{array} \right.$$

0 or 1
positive zeros
(generically)

General Phenomenon...

Many Complex Zeros,

but a lot fewer Real Zeros

Why do we care about Real Zeros?

- Chemical Reaction Networks
- Computer Vision
- Phylogenetic Trees

[Applied answer]

Why do we care about Real Zeros?

In applications,
the Real & Positive Zeros
are the ones that matter

[Applied Answer]

Why do we care about Real Zeros?

The number of Complex zeros
is a geometric quantity:
degree, (mixed) volume of Newton polytopes

The number of Real zeros
is a more combinatorial quantity:
size of the support

[Pure answer]

Khovanskii's Theorem on Fewnomial Systems



Kiil's Theorem

Fewnomial Systems

i?

Polynomial → poly-nomial → МНОГО-ЧЛЕН
→ МНОГОЧЛЕН → МАЛОЧЛЕН
"many monomial" "few monomials"
→ МАЛО-ЧЛЕН → Few-nomial → Fewnomial
↔ Gutxinomia ↔ Poconomio

Khovanskii's Theorem on Fewnomial Systems

$g \in \mathbb{R}[x_1, \dots, x_n]^n$ fewnomial system
with at most t exponents

No degree!

$$\# \mathcal{Z}_r(g, \mathbb{R}_+^n) \leq 2^{\binom{t-1}{2}} \binom{t-1}{n+1}$$

where $\mathcal{Z}_r(g, \mathbb{R}_+^n) := \{x \in \mathbb{R}_+^n \mid g(x) = 0, D_x g \text{ non-sing.}\}$

Kushnirenko's Hypothesis

$g \in \mathbb{R}[x_1, \dots, x_n]^n$ Fewnomial system

where g_i has t_i terms

Is there universal $c > 0$ s.t.

$$\#\mathcal{Z}_r(g, \mathbb{R}_+^n) \leq (t_1 \cdots t_n)^c ?$$

State of the Art (Zero dimensional case)

(Descartes, XVII) if $n=1$, $\#\mathcal{Z}(g, \mathbb{R}_+) \leq t-1$

(Khovanskii, 1991)

$$\#\mathcal{Z}_r(g, \mathbb{R}_+^n) \leq 2^{\binom{t-1}{2}} (n+1)^{t-1}$$

(Bihan, Ottile; 2007)

$$\#\mathcal{Z}_r(g, \mathbb{R}_+^n) \leq 3 \cdot 2^{\binom{t-n-1}{2}} \cdot n^{t-n-1}$$

(Sevastyanov, 1978; Koiran, Portier, Tavenas; 2015)

$$\text{if } n=2, \quad \#\mathcal{Z}_r(g, \mathbb{R}_+^2) \leq O(d_2^3 t_1 + d_2^2 t_1^3)$$

State of the Art (Zero dimensional case)

Even the bivariate case
 $(n=2)$

is widely open !

A Probabilistic Approach

Randomize F_i ,

what can we say about

$$\mathbb{E} \# Z_r(F, \mathbb{R}_+^n) ?$$

Probabilistic State of the Art I

(Bügisser, Ergür, Tonelli-Cueto; 2018)

$$F_i = \sum_{\alpha \in A} F_{i,\alpha} X^\alpha \quad \text{with } F_{i,\alpha} \text{ ind. cent. Gaussian}$$

s.t. $\text{Var}(F_{i,\alpha})$ only depends on α

$$t := \#A$$

$$\mathbb{E} \# Z_r(f, \mathbb{R}_+^n) \leq \frac{1}{2^{n-1}} \binom{t}{n}$$

Probabilistic State of the Art II

(Bürgisser, ISSAC'2023)

$$F_i = \sum_{\alpha \in A_i} F_{i,\alpha} X^\alpha \quad \text{with } F_{i,\alpha} \text{ i.i.d. standard Gaussian}$$

$$t_i := \#A_i$$

$$\mathbb{E} \# Z_r(f, \mathbb{R}_+^n) \leq \frac{1}{(2\pi)^{\frac{n}{2}}} V\left(\sum_{i=1}^n P_i\right) t_1 \cdots t_n$$

where $P_i := \text{conv}(A_i)$ & $V(P) := \# \text{vertices of } P$

Main Result I (Ergür, Telek, Tonelli-Cueto; 2023+)

$f \in \mathbb{R}[x_1, \dots, x_n]^n$ random Fewnomial system
such that the coefficients are ind. cent. Gaussians

$$\mathbb{E} \# Z_r(f, \mathbb{R}_+^n) \leq \frac{1}{4^n} t_1(t_1-1) \cdots t_n(t_n-1)$$

where t_i is # monomials of f_i

Main Result II (Ergür, Telek, Tonelli-Cueto; 2023+)

$$f_i = \sum_{\alpha \in A_i} f_{i,\alpha} X^\alpha \quad \text{with } f_{i,\alpha} \text{ ind. cent. Gaussians}$$

$$t_i := \#A_i$$

IF $\text{Var}(f_{i,\alpha}) \leq 1$,

with equality whenever α is a vertex P_i

$$\mathbb{E} \# Z_r(f, \mathbb{R}_+^n) \leq \frac{1}{4^n} V\left(\sum_{i=1}^n P_i\right) t_1 \cdots t_n$$

where $P_i := \text{conv}(A_i)$ & $V(P) := \# \text{vertices of } P$

Main Result III (Ergür, Telek, Tonelli-Cueto; 2023+)

$f_i = \sum_{\alpha \in A_i} f_{i,\alpha} X^\alpha$ with $f_{i,\alpha}$ ind. cent. Gaussians

$t_i := \#A_i$, $\pi_i : A_i \rightarrow \mathbb{R}$ s.t. $\pi_i(\alpha) := \log \text{Var}(f_{i,\alpha})$

$\mathcal{L}(A, \pi) := \text{conv} \left\{ (\pi_\alpha^{(\alpha)-s}) \mid \alpha \in A, s \geq 0 \right\}$

$$\mathbb{E} \# Z_r(f, \mathbb{R}_+^n) \leq \frac{1}{4^n} V \left(\sum_{i=1}^n \mathcal{L}(A_i, \pi_i) \right) t_1 \cdots t_n$$

where $V(P) := \# \text{vertices of } P$

Two Tools I: Rice's Formula

$$\mathbb{E} \# \mathcal{Z}(F, \mathbb{R}_+^n) = \int_{\mathbb{R}_+^n} \mathbb{E}(|\det D_x F| \mid F(x) = 0) p_{F(x)}(0) dx$$

Two Tools II: Cauchy-Binet Formula

A, B $m \times n$ matrices

$$\det AB^T = \sum_{\substack{I \subseteq \{1, \dots, n\} \\ |I|=m}} \det A_I \det B_I$$

where X_I is the submatrix of X
• whose columns are indexed by I

What about the variance?

THM (Tsigaridas, Tonelli-Cueto; 2024+)

$f \in \mathbb{R}[X_1, \dots, X_n]^n$ random Fewnomial system
with $\sum P_i$ simple, where $P_i = \text{conv}(A_i)$
 $\& A_i$ support of A_i

$$\left(\mathbb{E} \# \mathcal{Z}_r(f, \mathbb{R}_+^n) \right)^{1/e} \leq V\left(\sum_{i=1}^n P_i\right) O(\log^2 D + n \log D)^n e^n$$

where $V(P) := \# \text{vertices of } P$ & $D = \max \text{ degree}$

Future Work:

Can we prove

Kushnirenko's Hypothesis III
probabilistically?

Eskerrik
asko!